

# INVESTOR GRIEVANCE POLICY TRDEZ INVESTMENT PRIVATE LIMITED Version- 1.0

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| Organization: | TRDEZ INVESTMENT PRIVATE LIMITED                             |  |  |  |
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### **Document Control**

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### **Version History**

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| 1.0            | 06/10/<br>2023  | Ms. Vanshika<br>Ghag<br>Governance Risk<br>and Compliance<br>Analyst | NA                    | Mr. Chetan Dhar<br>Compliance Officer | INVESTOR<br>GRIEVANCE<br>POLICY | 06/10/2023          |

### Distribution

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## **INVESTOR GRIEVANCE POLICY**

TRDEZ has framed below mentioned policy as per process of handling Investor Grievance.

Under the SEBI directive a designated e-mail id has been created <u>grievance@trdez.com</u> and the same has been displayed on our website <u>www.trdez.com</u>. This e-mail id would be monitored by the helpdesk and compliance department on a daily basis.

- 1. An Investor/Client can make his/her complaint through email or letter to the TRDEZ or Investor make complaint through exchange.
- 2. The Investor/Client can make a written complaint through letter and send it, or hand deliver it to TRDEZ's Head Office or Corporate office.
- 3. Handling of all investor grievances is a centralized function and is being handled by the Compliance department at head office; TRDEZ has sufficient team and capable staff to handle all investor grievances.
- 4. TRDEZ has also developed online grievance which is available on the TRDEZ's website <u>www.trdez.com</u> through which an investor can make a complaint.
- 5. All the Investor Grievances received in writing at head office or at the <u>grievance@trdez.com</u> would be verified and scrutinized by the helpdesk team and compliance department and it would initiate necessary steps to resolve the complaint within 1 7working days of the receipt of the complaint by them. The client can track the status of the complaint from our website by entering ticket id on it.
- 6. A correspondence either by letter or e-mail shall be made with the investor who has submitted written complaints acknowledging receipt of the complaint.
- 7. TRDEZ shall follow the practice of resolving the investor's complaint within 30 days of receipt of the same.
- 8. The grievance redressal policy shall be placed before the board.



- 9. All the investor complaints/grievance received through SEBI by online "SEBI Complaints Redress System" (Scores) / NSE –ENIT and BSE.
  - BEFS shall be checked regularly and replied/resolved expeditiously.
- 10. All the investor grievances shall be handled in the following manner by the compliance department:
- a. All the investor grievances (hard copy or softcopy) shall be updated in an excel register (softcopy) on the same day of the receipt of the complaint. This register should be monitored by the compliance officer.
- b. After verification and scrutiny, the appropriate steps should be initiated to resolve the complaint at the earliest.
- c. As per the exchange/ depositary/ SEBI directives the complaint register has been maintained by us.
- d. Therefore, the compliance department shall ensure that depending upon the type of the complaint, all the information i.e. from the receipt of the complaint till the status of being resolved would be updated in the register.
- 11. The compliance officer should ensure that it gives its sign-off only after the complaint is resolved.

The policy shall be amended from time to time under the directions of SEBI and Exchanges. All

stakeholders shall ensure to adhere to the policy norms under all circumstances.

#### TRDEZ INVESTMENT PRIVATE LIMITED