



**Policy Of Treating Dormant/Inactive Account**  
**TRDEZ INVESTMENT PRIVATE LIMITED**  
**Version- 1.0**

<b>Author:</b>	MS. VANSHIKA GHAG - (Governance Risk and Compliance Analyst)
<b>Owner:</b>	MR. CHETAN DHAR- (Compliance Officer)
<b>Organization:</b>	<b>TRDEZ INVESTMENT PRIVATE LIMITED</b>
<b>Version No:</b>	1.0
<b>Date:</b>	06/10/2023

## Document Control

**Document Title**      Policy Of Treating Dormant/Inactive Account

## Version History

Version No.	Version Date	Author	Summary of Changes	Approval By	Title	Date of Approval
1.0	06/10/2023	Ms. Vanshika Ghag Governance Risk and Compliance Analyst	NA	Mr. Chetan Dhar Compliance Officer	Policy Of Treating Dormant/Inactive Account	06/10/2023

## Distribution

Name	Title	Date of Issue	Version No
NA	NA		NA

## **Policy Of Treating Dormant/Inactive Account**

This is a Master Policy of SEBI dated December 03, 2009, Clause 18 which has a mention about the framing of dormancy policy by Intermediary.

No transactions for the last 12 months shall be identified as a dormant account/inactive account.

If the client does not do a transaction for a period/gap of 1 year/12 months, his/her account will be treated as a dormant/inactive account.

However, after marking the client's account dormant within one year, if the client is willing to re-activate the account he will provide a reactivation letter to the member for activating his dormant account with updated e-mail id and mobile number. In case there is any change with respect to the proofs / documents given earlier, copies of all latest supporting documents i.e. proof of residential address, proof of bank account, proof of Demat account (Trdez DP account to be ignored) shall be provided by the client. The letter to be obtained from the client is enclosed herewith. For the Derivatives account, client in addition to the above will have to provide proof of income of last financial year as on the date of request for activating the dormant account.

If after marking the clients account dormant, the client is willing to reactivate his account after one year of making dormant (After two year of last trade), the client shall submit the KYC documents and IPV of the client shall be done in addition to the process said in aforesaid paragraph.

On a client being declared inactive/ dormant, the client's fund and Demat Account will get settled. Settlement of Account will be done as per periodicity (monthly/ quarterly) opted by client and his/her assets (funds, securities or any other collateral) will be returned to him/her and we will send statement to the client. Proof of sending the statements of settlement of accounts shall be maintained with us.

In case, if client is having query or need clarification, he shall contact to our Trdez head office.

The policy shall be amended from time to time under the directions of Regulators.

**FOR, TRDEZ INVESTMENT PRIVATE LIMITED**